Appendix 3

E-1

Inc#	Incident Start	Incident End	Incident Description	Category	Status	Reported by	Report#
54542	07/04/18 17:04	07/04/18 19:13	Operator M. Bratton, operating Train#3, MU 2002/5004, northbound at Jersey Ave Station removed from service due to rules violation.	Violation - Other	Closed		

Impact Comments:

Cause: Rules violation.

Chronology:

07/04/18 17:04	Bulger	ATM HB-60 S. Bulger instructed Operator M. Bratton, operating MU 2002/5004, leading LRT 2002 "B", to hold position while berth northbound at Jersey Ave on track R-1, and that he was not properly berthed and off the platform.
07/04/18 17:05	Bulger	ATM HB-60 S. Bulger removed Operator M. Bratton from service and took over operation on Train#3, MU 2002/5004, northbound at Jersey Ave Station.
07/04/18 17:10	Lord	20 Office notified US Drug Testing Dispatcher and requested a technician be dispatched to the Communipaw Facility.
07/04/18 17:28	Bulger	ATM HB-60 S. Bulger returned to the Communipaw Facility with Operator Matthew Bratton.
07/04/18 17:30	Ali	System Safety Manager P. Francis arrived at the Communipaw Facility to conduct investigation.
07/04/18 18:50	Ali	US Drug Testing conducted D&A Testing on Operator M. Bratton at the Communipaw Facility.
07/04/18 19:12	Ali	System Safety Manager P. Francis completed her investigation and interview with Operator M. Bratton.
07/04/18 19:13	Erla	Train Master S. Erla issued a HBLR Attachment "A" Out of Service Notice to Operator M. Bratton.

Notification(s)

07/04/18 17:06	Francis, Patsi	Notified via cellphone.
07/04/18 17:07	Jamaal McClintock	Notified via cellphone.
07/04/18 17:08	Maccioll, Phil	Notified via cellphone.
07/04/18 17:09	Kem Anyika	Notified via cellphone.
07/04/18 17:20	Haramija, Patrick	Notified via cellphone.
07/04/18 17:22	Rob Mercado	Notified via cellphone.
07/04/18 17:23	Stouta, Karen	Notified via cellphone.
07/04/18 17:25	H.Patel	Notified via cellphone.
07/04/18 17:28	Joe Word	Notified via cellphone.

Response:

Employee:
Notification:
Action Taken: Transportation Supervisor Dispatched
On Scene:
Comments:

Circumstances:

Operator:
Destination:
Temperature: 87
Route:
Direction:
Surface Condition: Normal
Run:
Weather: Overcast
Comments:

Operations Impact:

Service Impact:
Peak Time:
Service Type:
Lost Trains: 3
Time Restored:
Total Delay Time:
Comments:
LRT Change Off?:
LRT Removed:
Replaced by:

Created by: SERLA
Status by: SERLA

# NUm	Incident Start	Incident End	Incident Description	Category	Status	Reported by	Report#
54858	08/10/18 19:42	08/10/18 21:47	Rules Violation - Red Signal Overrun at Y-North INT	Violation - Stop Signal	Closed	Dewanda Sabbs	

Impact Comments:

Cause: Rules Violation

Chronology:

08/10/18 19:42	Sabbs	While approaching the Y-North Lead with MU 2045-2051 (non-revenue), Operator Sabbs overran Signal 2NB at Y-North Interlocking. ATM K. Ravenell notified and dispatched.
08/10/18 19:45	Dabney	US Drug Testing notified and dispatched. Vehicle maintenance personnel notified.
08/10/18 19:46		20 Office begins single track operation around Signal 2NB at Y-North Interlocking.
08/10/18 19:55	Ravenell	ATM K. Ravenell arrives Y-North Interlocking and confirms the signal overrun. ATM K. Ravenell removes Operator Sabbs from LRT 2045 and is clear of Y-North Interlocking. Normal service has resumed at Y-North Interlocking.
08/10/18 20:46	Youssef	MW28 enters Y-North Interlocking to download information from the VHLC.
08/10/18 21:29	Dabney	US Drug Testing arrives to Caven Point Facility.
08/10/18 21:47		Drug and alcohol testing is complete. Operator Sabbs is out of service.

Notification(s)

08/10/18 19:49	Jamaal McClintock	Notified by Train Master G. Morales
08/10/18 19:50	Maccioli, Phil	Notified by Train Master G. Morales
08/10/18 19:51	Kern Anyika	Notified by Train Master Morales
08/10/18 19:52	Vacarina, Steve	Notified by Train Master G. Morales
08/10/18 20:04	Haramija, Patrick	Notified via call phone
08/10/18 20:05	Mitchell, Steve	Notified via call phone
08/10/18 20:06	Stoute, Karen	Notified via call phone
08/10/18 20:07		Notified via call phone (H. Patel NJDOT)
08/10/18 20:09	New Jersey Transit	Notified via call phone (J. Word)

Response:

Employee: Notification:
Action Taken: Employee Removed from Service On Scene:
Comments:

Circumstances:

Operator: Destination: Temperature: 88
Route: Direction: Surface Condition: Normal
Run: Weather: Clear
Comments:

Operations Impact:

Service Impact: Peak Time:
Service Type: Lost Trains: 0
Time Restored: Total Delay Time:
Comments:
LRT Change Off?: LRT Removed:
Replaced by:

Created by: JDABNEY Status by: MSANTOLLO

E-2

McClintock, Jamaal

From: Bulger, Steffan M.
Sent: Friday, March 15, 2019 1:35 AM
To: Henry, Sean; Olan, German; Morales, Gilbert; Santollo, Matthew; Bulger, Steffan M.; Colon, Richard
Cc: Erla, Sean; McClintock, Jamaal
Subject: Friday Morning - Operator Vazquez

Operator Vazquez received a negative efficiency check for rule 622. A copy has been placed in his mailbox, and another has been left in jamaals.

Station: 9th Street
Arrived 01:22
Scheduled: 01:25

Vazquez has been instructed to hold at stations until the proper departure time on a regular basis, leading up to the negative check.

Steffan Bulger
Controller / Line Supervisor, Hudson-Bergen Light Rail Transit System
Transportation
T: 1.201.209.2555
C: 1.908.581.0294
Steffan.Bulger@aecom.com

AECOM
20 Caven Point Avenue, Jersey City, New Jersey 07305
www.aecom.com

AECOM and URS have joined together as one company. Learn more.

E-3

HUDSON-BERGEN LIGHT RAIL TRANSIT

Operational and Safety Checks

Date: 2/11/19 Employee No. 860378

Name: DAVID EPSTEIN

Position: Operator

Rule of S.T.P. / S.O.P. 8 Complied?: Yes No

Comments: Promptly Inform 26 office of any unsafe condition, defective tool, or equipment.

SUPERVISOR NAME: Sherri Oglesby

HUDSON-BERGEN LIGHT RAIL TRANSIT

Operational and Safety Checks

Date: 2/11/19 Employee No. 875629

Name: DARBY, TASHONNA

Position: Operator

Rule of S.T.P. / S.O.P.: 26 Complied?: Yes No

Comments: Running is not permitted anywhere on HBLRTS property.

SUPERVISOR NAME: Sherri Oglesby

Done

HUDSON-BERGEN LIGHT RAIL TR

Operational and Safety Checks

Date: 2/12/19 Employee No. 4

Name: Damion Plummer

Position: Operator

Rule of S.T.P. / S.O.P.: 156 Complied?: Yes No

Comments: Wear your company issued bright colored vest while on ~~track~~ and about the right of way.

SUPERVISOR NAME: Sherri Oglesby

N-BERGEN LIGHT RAIL TRANSIT

rational and Safety Checks

e: 2/09/19 Employee No. 846881

Name: Thomas Beaver

Position: Operator

Rule of S.T.P. / S.O.P.: 18 Complied?: Yes No

Comments: Use established road or pedestrian crossings, overpasses, or underpasses to cross tracks whenever possible

SUPERVISOR NAME: Sherri Oglesby

F-4

HUDSON-BERGEN LIGHT RAIL TRANSIT

Operational and Safety Checks

Date: 2/18/19 Employee No. 49370

Name: K. Stewart

Position: Operator

Rule of S.T.P. / S.O.P.: 2.3.3 Complied?: (Yes) No

Comments: LRT Destination signs must display the proper route/destination

SUPERVISOR NAME: Sherri Oglesby

HUDSON-BERGEN LIGHT RAIL TRANSIT

Operational and Safety Checks

Date: 2/18/19 Employee No. 43417

Name: M. Wallamey

Position: Operator

Rule of S.T.P. / S.O.P.: 663.1 Complied?: (Yes) No

Comments: Signal callout at Erie / Dekalb TNTS

SUPERVISOR NAME: Sherri Oglesby

HUDSON-BERGEN LIGHT RAIL TRANSIT

Operational and Safety Checks

Date: 2/13/19 Employee No. 43367

Name: M. Moss

Position: Operator

Rule of S.T.P. / S.O.P.: 4.2 Complied?: (Yes) No

Comments: LRV Pretrip Inspection

SUPERVISOR NAME: Sherri Oglesby

HUDSON-BERGEN LIGHT RAIL TRANSIT

Operational and Safety Checks

Date: 2/6/19 Employee No. 43400

Name: K. Winston

Position: Operator

Rule of S.T.P. / S.O.P.: 3.7.2 Complied?: Yes (No)

Comments: Operator must make sure that only platform side doors are activated

SUPERVISOR NAME: Sherri Oglesby

HUDSON-BERGEN LIGHT RAIL TRANSIT

Operational and Safety Checks

Date: 2/5/2019 Employee No. 43413

Name: K. Ward

Position: Operator

Rule of S.T.P. / S.O.P.: 505 Complied?: [YES] NO

Comments: Prior to entering the intersection/grade crossing, Rule: Stop. Then proceed with caution yielding to all approaching highway movement not exceeding 5 mph until the head end clears the intersection/grad crossing.

Observation Location: Jersey City South/Northbound

SUPERVISOR NAME: *K. Ravenell*

HUDSON-BERGEN LIGHT RAIL TRANSIT

Operational and Safety Checks

Date: 2/6/2019 Employee No. 838413

Name: A. Racioppi

Position: Operator

Rule of S.T.P. / S.O.P.: F Complied?: [YES] NO

Comments: All affected employees must adhere to the HBLR Employee personal Appearance and Uniform Policy for LRV Operator and MOW personal at all times while on duty or on Company property

Observation Location: Ready Room

SUPERVISOR NAME: *K. Ravenell*

HUDSON-BERGEN LIGHT RAIL TRANSIT

Operational and Safety Checks

Date: 2/7/2019 Employee No. 56770

Name: A. Bulter-Rice

Position: Operator

Rule of S.T.P. / S.O.P.: 649 Complied?: [Yes] No

Comments: Butler-Rice railroad light and headlights facing the direction of movement must be displayed BRIGHTLY at all times..

Observation Location: Bayonne Southbound

SUPERVISOR NAME: *K. Ravenell*

HUDSON-BERGEN LIGHT RAIL TRANSIT

Operational and Safety Checks

Date: 2/8/2019 Employee No. 43430

Name: E. Isons

Position: Operator

Rule of S.T.P. / S.O.P.: 156 Complied?: [Yes] No

Comments: Wear your company issued bright colored vest while on and about the right-of-way

Observation Location: Harborside

SUPERVISOR NAME: *K. Ravenell*

HUDSON-BERGEN LIGHT RAIL TRANSIT

Operational and Safety Checks

Date: 2/9/2019 Employee No. 43540

Name: K. Jones

Position: Operator

Rule of S.T.P. / S.O.P.: 9 Complied?: [YES] NO

Comments: Jones must not wear loose, ill-fitting, unfastened, or unbuttoned clothing while on duty

Observation Location: Ready Room

SUPERVISOR NAME: *K. Ravenell*

HUDSON-BERGEN LIGHT RAIL TRANSIT

Operational and Safety Checks

Date: 2/13/2019 Employee No. 13131

Name: J. Garcia

Position: Operator

Rule of S.T.P. / S.O.P.: 663-1 Complied?: [YES] NO

Comments: Operator of all Northward LRT's must make a radio transmission to 20 Office stating the name of the aspect displayed on the interlocking signal of Erie

Observation Location: Erie and Dekalb Interlocking

SUPERVISOR NAME: *K. Ravenell*

HUDSON-BERGEN LIGHT RAIL TRANSIT

Operational and Safety Checks

Date: 2/19/2019 Employee No. 882032

Name: E. McCann-Daniels

Position: Operator

Rule of S.T.P. / S.O.P.: 2.3.3 Complied?: [Yes] No

Comments: After changing ends, re-enter the code after cab activation (key-up). This will help ensure that the proper destination and announcement are made.

Observation Location: Tonnelle Ave

SUPERVISOR NAME: *K. Ravenell*

HUDSON-BERGEN LIGHT RAIL TRANSIT

Operational and Safety Checks

Date: Employee No.

Name:

Position:

Rule of S.T.P. / S.O.P.: Complied?: [Yes] No

Comments:

Observation Location:

SUPERVISOR NAME: *K. Ravenell*

HUDSON-BERGEN LIGHT RAIL TRANSIT

Operational and Safety Checks

Date: 2/18/2019 Employee No. 881792

Name: David Chen

Position: Operator

Rule of S.T.P. / S.O.P.: O Complied?: YES NO

Comments: This Operator had proper Uniform / PPE / Radio - Knowledge of Rules of the Day.

Observation Location:

SUPERVISOR NAME: Alvin Cost

HUDSON-BERGEN LIGHT RAIL TRANSIT

Operational and Safety Checks

Date: 2/18/2019 Employee No. 851910

Name: Blair Howell

Position: Operator

Rule of S.T.P. / S.O.P.: 620 Complied?: YES NO

Comments: This operator has a Reliable watch.

Observation Location: Ready Room

SUPERVISOR NAME: Alvin Cost

HUDSON-BERGEN LIGHT RAIL TRANSIT

Operational and Safety Checks

Date: 2/18/2019 Employee No. 883499

Name: Justin Gracia

Position: Operator

Rule of S.T.P. / S.O.P.: 156 Complied?: Yes No

Comments: This Operator wears Company issued vest while on or about the right of way

Observation Location: Ready Room

SUPERVISOR NAME: Alvin Cost

HUDSON-BERGEN LIGHT RAIL TRANSIT

Operational and Safety Checks

Date: 2/18/2019 Employee No. 838421

Name: Robert Peeters

Position: Operator

Rule of S.T.P. / S.O.P.: F Complied?: Yes No

Comments: This Operator has proper Uniform with Shirt tucked in.

Observation Location: Ready Room

SUPERVISOR NAME: Alvin Cost

HUDSON-BERGEN LIGHT RAIL TRANSIT

Operational and Safety Checks

Date: 2-15-19 Employee No. 13284

Name: Christopher Comes

Position: Operator

Rule of S.T.P. / S.O.P.: Rule 6(e) Complied?: YES NO

Comments: Operator Comes passed the shelter stop station at maximum speed of 45 mph as prescribed by the rules.

Observation Location: Platform South

SUPERVISOR NAME: Nicia Rieder

HUDSON-BERGEN LIGHT RAIL TRANSIT

Operational and Safety Checks

Date: 2-15-19 Employee No. 13307

Name: Maureen McGovern

Position: Operator

Rule of S.T.P. / S.O.P.: Rule F Complied?: YES NO

Comments: Operator McGovern had the proper uniform checked.

Observation Location: Ready Room

SUPERVISOR NAME: Nick Rieder

HUDSON-BERGEN LIGHT RAIL TRANSIT

Operational and Safety Checks

Date: 2-16-19 Employee No. 851970

Name: Blair Howell

Position: Operator

Rule of S.T.P. / S.O.P.: Rule 3.1.1 Complied?: Yes No

Comments: Operator Howell was in his Cab during outage 1 minute prior to departure for outage at Harborside station

Observation Location: Harborside Finacial Station

SUPERVISOR NAME: Nick Rieder

HUDSON-BERGEN LIGHT RAIL TRANSIT

Operational and Safety Checks

Date: 2-16-19 Employee No. 847003

Name: Darius Rush

Position: Operator

Rule of S.T.P. / S.O.P.: Rule 3.1.1 Complied?: Yes No

Comments: Operator Rush was in his Cab during outage 1 minute prior to departure for outage at Harborside station

Observation Location: Harborside Finacial station

SUPERVISOR NAME: Nick Rieder

HUDSON-BERGEN LIGHT RAIL TRANSIT

Operational and Safety Checks

Date: 2-20-19 Employee No. 13012

Name: Luis Torres

Position: Operator

Rule of S.T.P. / S.O.P.: Rule 661 Complied?: YES NO

Comments: Operator Torres passed the Shelter stop station at maximum speed of 45mph as prescribed by the rules

Observation Location: Platform South

SUPERVISOR NAME: Nick Rieder

HUDSON-BERGEN LIGHT RAIL TRANSIT

Operational and Safety Checks

Date: 2-21-19 Employee No. 12957

Name: Monroe Jenkins

Position: Operator

Rule of S.T.P. / S.O.P.: Rule 620 Complied?: YES NO

Comments: Operator Jenkins was wearing proper reliable watch while on Duty

Observation Location: Ready Room

SUPERVISOR NAME: Nick Rieder

HUDSON-BERGEN LIGHT RAIL TRANSIT

Operational and Safety Checks

Date: 2-21-19 Employee No. 43400

Name: Kia Winston

Position: Operator

Rule of S.T.P. / S.O.P.: Rule 634 Complied?: Yes No

Comments: Operator Winston approaching employee crossualk uses two bells upon moving forward to proceed to west Lead.

Observation Location: Platfom East

SUPERVISOR NAME: Nick Rieder

HUDSON-BERGEN LIGHT RAIL TRANSIT

Operational and Safety Checks

Date: 2-22-19 Employee No. 53274

Name: Philip Aliotta

Position: Operator

Rule of S.T.P. / S.O.P.: Rule O Complied?: Yes No

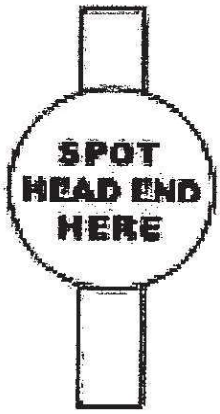
Comments: Operator Aliotta was fit for Duty. Had proper footwear, uniform, all rules, BO's, GO's and notices upon reported for Duty

Observation Location: Ready Room

SUPERVISOR NAME: Nick Rieder

HUDSON BERGEN LIGHT RAIL®

HBLR LRV Operator Spot Head End Monthly Report 2019



E-5

January

Date	Train # Operator Car no.	Stations Observed compliance with Rule 419	Amount of stations	Supervisor
1/17/19	T. Griffin	LSP, Jersey, Marin, and Exchange Pl.	4	Haynes
1/18/19	A. Gill	LSP, Jersey, Marin, and Exchange Pl.	4	Haynes
1/18/19	T. Darby	LSP, Jersey, Marin, and Exchange Pl.	4	Haynes
1/18/19	R. Szweada	LSP, Jersey, Marin, and Exchange Pl.	4	Haynes
1/18/19	E. McCann Daniels	LSP, Jersey, Marin, and Exchange Pl.	4	Haynes
1/18/19	M. Wright	LSP, Jersey, Marin, and Exchange Pl.	4	Haynes
1/22/19	Wuillamey	Newport	1	Goss
1/22/19	A. Dickey	Newport	1	Goss
1/22/19	5021	Newport	1	Goss
1/22/19	E. Isons	Newport	1	Goss
1/22/19	T. Brown	Newport	1	Goss
1/22/19	M. Vasquez	Newport	1	Goss
1/25/19	K. Winston	LSP, Jersey, Marin, and Exchange Pl.	4	Haynes
1/25/19	D. Bennett	Jersey Ave.	1	Haynes

February

Date	Train # Operator Car no.	Stations Observed compliance with Rule 419	Amount of stations	Supervisor

HUDSON BERGEN LIGHT RAIL

LRT OPERATOR TRAIN HANDLING EVALUATION

Rev 1.1

Evaluation Date	3/22/19	Operated From	Exchange PL
Employee ID	881792	Operated To	Newport
Employee Name	David Chen	Train Number	7
Supervisor Name	Alvin Gadr	LRT Vehicle Number	5002
*** ***	Check Box if Train is a	Multiple Unit	}

	Train Starting	2	Street Running - Speeds	2	Train Speed		Personal Electronics
2	Acceleration	2	Street Running – Compliance	2	Train Schedule	2	Safety
	Throttle Modulation	2	Street Running – Bar Signals		Communication Systems		Special Instructions
2	Deceleration	2	Railway Signal Compliance		Customer Interaction	2	Operating Rules Compliance
2	Train Stopping		Equipment Knowledge		Equipment Troubleshooting	2	Overall Score

Supervisors Comments

This operator stops all bar signals and stay speeds in Street Running Territory.

Area(s) Needing Improvement

N/A

I have reviewed the evaluation with the employee and provided employee with a copy.

Supervisor Signature David Chen Date 3/22/19

I have received a copy of this evaluation and understand its contents. My signature does not indicate my agreement with this evaluation.

Employee Signature Date 3/22/19

LRT1 (11/08)

Supervisors

Please fill out information box with;
*Today's Date
*HBLR Employee Number
*Employee Name
*Supervisor Name
*Station or Location observed LRT Operator operate train from and to.
*Timetable Train number or EXTRA
*LRT Lead unit number

Please use the following Criteria as a guide for assigning a performance level number for the categories in the evaluation box;

0 – Enter a ZERO if category is not applicable.

1 – Employee consistently demonstrates skill and knowledge above average.

2 – Employee fulfills all requirements for skill, knowledge and compliance.

3 – Employee shows minor deviation(s) from HBLR Train Handling Standards. (Explain in Area Needing Improvement area)

4 – An employee with sufficient time and experience who shows shortcomings in knowledge and/or skill Also applicable to an employee who is progressing adequately but is not yet fulfilling all position requirements.

5 – Performance is unsatisfactory and does not meet minimum job standards.

NOTE* - When an employee is given a 4 or 5 rating in any field, specific information regarding what corrective actions are deemed appropriate to eliminate the employee's deficiencies, and what the employees deficiencies are, **must** be included in the "Supervisors Comments" and in "Area(s) Needing Improvement", respectively.

An employee given an **OVERALL** rating of 5 must be withheld from service until brought up to standard. A formal program of instruction must be fulfilled in order to accomplish this goal. Prior to returning to service, the employee must complete a qualifying run with a Supervisor and achieve an **OVERALL** evaluation of at least 4.

HBLR System is the Premier U.S. Light Rail Operation.

Our LRT Operators are the key to maintaining that level of recognition.

Careful Train Handling and Safe, Courteous Service are our key to the Future!

HUDSON BERGEN LIGHT RAIL
LRT OPERATOR TRAIN HANDLING EVALUATION | Rev 1.1

Evaluation Date	3/22/19	Operated From	Newport
Employee ID	72959	Operated To	Exchange Pl
Employee Name	Anthony O'Neil	Train Number	51
Supervisor Name	Alvin Good	LRT Vehicle Number	3028
*** ***	Check Box if Train is a	Multiple Unit }	2038

	Train Starting	2	Street Running - Speeds	2	Train Speed		Personal Electronics
2	Acceleration	2	Street Running – Compliance	2	Train Schedule	2	Safety
	Throttle Modulation	2	Street Running – Bar Signals		Communication Systems		Special Instructions
2	Deceleration	2	Railway Signal Compliance		Customer Interaction		Operating Rules Compliance
2	Train Stopping	2	Equipment Knowledge		Equipment Troubleshooting	2	Overall Score

Supervisors Comments

This operator holds his operating time schedule and stops train in a smooth manner

Area(s) Needing Improvement

N/A

I have reviewed this evaluation with the employee and provided employee with a copy.

Supervisor Signature _____ Date 3/22/19

I have received a completed copy of this evaluation and understand its contents. My signature does not indicate my agreement with this evaluation.

Employee Signature _____ Date 3/22/19

LRT1 (11/08)

Supervisors

Please fill out information box with;
*Today's Date
*HBLR Employee Number
*Employee Name
*Supervisor Name
*Station or Location observed LRT Operator operate train from and to.
*Timetable Train number or EXTRA
*LRT Lead unit number

Please use the following Criteria as a guide for assigning a performance level number for the categories in the evaluation box;

0 – Enter a ZERO if category is not applicable.

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3 – Employee shows minor deviation(s) from HBLR Train Handling Standards. (Explain in Area Needing Improvement area)

4 – An employee with sufficient time and experience who shows shortcomings in knowledge and/or skill Also applicable to an employee who is progressing adequately but is not yet fulfilling all position requirements.

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Our LRT Operators are the key to maintaining that level of recognition.

Careful Train Handling and Safe, Courteous Service are our key to the Future!

Appendix 4

U-1

AECOM

Dr. Gene C. Haynes
Training Specialist / Rules Examiner

Hudson-Bergen Light Rail Project
20 Caven Point Avenue
Jersey City, NJ 07305

201 209 6521 office
551 222 1873 mobile
201 209 2532 fax
gene.haynes1@aecom.com

aecom.com

AECOM Imagine it. Delivered.

Appendix 5

Activity Date :5/20/2013
Date Created :5/20/2013
Note Type :RP Status Reporting

(3)

Entered By :HARDIEV
Time Created :4:34 PM
User Type :

SUBJECT: TO TROY MOORE/AIG E-MAIL 5/9/13 PART 1
NOTE TEXT: 1.Place a rush on the pursuit of permanency and light duty status. The claimant has filed for permanent total disability through the Ohio Industrial Commission. We are in the process of defending the PTD request. All medical is required to be filed with the Industrial commission by 6/12/13. If we are successful in getting the PTD application denied, we will move immediately to voc rehab based on permanent restrictions. Settlement, of course, is being considered at all levels. 2.A utilization review to address medication usage, if applicable. Utilization review was done by IME of Dr. Hofmann 4/23/13 and you have been provided a copy. Tom Buscemi of Benchmark has scheduled an appointment with Dr. Howes 5/16/13 to discuss the trial spinal cord stimulator (SCS) outcome and appropriateness of a permanent SCS. As she only had 20-30% relief during the trial, it is unlikely he will request an implantation. Dr. Caneris is managing her medication usage and an appt for 5/20/13 has been scheduled to discuss eliminating some of RXS one by one per the IME report. Dr. Caneris had prev wanted to wait for the outcome of the SCS trial before making any changes.3.Pursue the IME results. The IME has been filed with the Industrial Commission for defense of the PTD application. Tom Buscemi, the FCM assigned to the claim, has scheduled appointments with Dr Howes and Dr Caneris to review the IME in an attempt to reduce her medications. The IC will soon schedule the claimant for an IME on the issue of PTD. After the Commission IME is received, we will obtain a vocational assessment.contd..

Activity Date :5/29/2013
Date Created :5/29/2013
Note Type :RP Status Reporting

Entered By :HARDIEV
Time Created :6:34 PM
User Type :

SUBJECT: DCN 5462222 RBS TO EXCESS
NOTE TEXT: Atty Strassman 5/28/13 to Troy Moore/AIG with claim summary and defense plan.

Activity Date :5/29/2013

Entered By :HARDIEV

J-1

AECOM

Job Family: Rail Transportation Supervision #38010

The following explains the job responsibilities and expectations for all employees who are classified in this job family. This job description is not intended to include an exhaustive list of all responsibilities, duties and skills required. Additional responsibilities may be assigned according to the needs of the Company, project, or contract.

SCOPE: The Rail Transportation Supervision job family is responsible for safe and reliable light rail transportation and customer service.

PRIMARY RESPONSIBILITIES:

Transportation Management:

- Responsible for day to day operations of Light Rail Transportation (LRT) service in conformance with established Standard Deliver Quality Index requirements.
- Responsible for day to day management and proper functioning of the transportation department and all of its personnel, within budgetary and operational guidelines.
- Assumes responsibility for site management in the event of major accidents, incidents and service outages.
- Responsible for the prompt and thorough investigation of all collisions, derailments, serious fires or fatal accidents involving a train or LRT.
- Ensures that the Rules and Instructions for Conducting Transportation are kept current and accurate.
- Initiates, changes, modifies and adds to Standard Operating Procedures.
- Coordinates with System Safety and the Rules Examiner in issuing changes, modifications or additions to emergency operating procedures.
- Reports to Director of Rail Operations.

Transportation Supervision:

- Monitors the Transportation function from the office and the field.
- Reviews all documentation generated from the Control Center (20 Office) to ensure thoroughness and accuracy prior to submittal to Superintendent.
- Oversees Operator Training.
- Monitors and documents attendance and other forms of discipline.
- Responsible for the safe and efficient delivery and documentation of transportation services, system-wide, from the Control Center.
- Responsible for directly supervising mainline and yard operations of all Light Rail Trains and Operators to help ensure the safe and dependable provision of transportation services.
- Supports overall transportation function by responding to, managing, reporting on, investigating and documenting incidents and the recovery thereof.
- Reports to the Superintendent of Transportation.

Classification	Grade	Minimum Qualifications
Assistant Train Master (Line Supervisor)	10	**Education:** High school diploma or demonstrated equivalent **Experience:** 1 year experience as Light Rail Operator or experience on major rail system as a Train Operator or in

		a similar supervisory position. **Level Specific Responsibilities:** Responsible for directly supervising main line and yard operations of all Light Rail Trains. Supports the transportation function by responding to, managing, reporting on, investigating and documenting incidents and accidents.
Assistant Train Master (Controller)	11	**Education:** High school diploma or demonstrated equivalent **Experience:** 2 years of experience as an Assistant Train Master (Line Supervisor), or equivalent position **Level Specific Responsibilities:** Monitors, directs and controls all Light Rail Trains and other track car movements system wide. Takes required actions to maintain or restore adherence of trains to designated schedules.
Assistant Train Master (Lead Controller)	12	**Education:** High school diploma or demonstrated equivalent **Experience:** 2 years experience as Assistant Train Master and 1 year as a Controller on a light rail system, or equivalent positions. **Level Specific Responsibilities:** As direct representative of the Superintendent, is responsible for the safe and efficient delivery and documentation of transportation services system wide from the Operations Control Center during tour of duty. Devises and implements service recovery strategies in the event of disruptions to normal train schedules as well as initiating incident/accident investigations.
Train Master	13	**Education:** High school diploma or demonstrated equivalent, Associates degree in an applicable transportation discipline preferred. **Experience:** 4 years experience in a major transit system as Lead Controller. **Level Specific Responsibilities:** This is a management level position. Monitors the Transportation function from the office and the field, as well as activities and the performance of Lead Controllers, Controllers, Assistant Train Masters and Light Rail Operators. Reviews all documentation generated from the Control Center to ensure thoroughness and accuracy prior to submittal to the Superintendent. Oversees Operator Training. Monitors and documents attendance and rules violations, generates discipline letters and acts as Charging Officer during

		grievance hearings.
Assistant General Superintendent (Transportation)	14	**Education:** High school diploma or demonstrated equivalent, Associates degree in an applicable transportation discipline preferred. **Experience:** 6 years of experience on a major rail system, including 2 years in a Lead Controller or Train Master position with demonstrated supervisory experience. **Level Specific Responsibilities:** This is a management level position. Monitors the Transportation function from the office and the field, as well as activities and the performance of Lead Controllers, Controllers, Assistant Train Masters, Train Masters and Light Rail Operators. Reviews documentation from the Control Center to ensure thoroughness and accuracy prior to submittal to the Superintendent. Monitors and documents attendance and rules violations, generates discipline letters and acts as Charging Officer during grievance hearings. Assists in the day to day operation of the Transportation department under direct supervision of the General Superintendent. Assumes responsibility of the General Superintendent in their absence.
General Superintendent (Transportation)	15	**Education:** High school diploma or demonstrated equivalent, Associates degree in an applicable transportation discipline preferred. **Experience:** 8 years of experience on a major rail system in a Lead Controller or Train Master position with demonstrated supervisory experience. **Level Specific Responsibilities:** Responsible for day to day operation of Transportation department, all personnel and the department budget. Assumes responsibility of site management in the event of major accidents, incidents or service outages. Oversees the investigations of all major and minor accidents and incidents on the service territory.