

UNITED STATES OF AMERICA  
BEFORE THE NATIONAL LABOR RELATIONS BOARD  
DIVISION OF JUDGES  
ATLANTA BRANCH OFFICE

COLUMBIA COLLEGE CHICAGO

and

CASES 30–CA–18888<sup>1</sup>  
13–CA–60793<sup>2</sup>

PART-TIME FACULTY ASSOCIATION AT  
COLUMBIA COLLEGE CHICAGO-ILLINOIS  
EDUCATION ASSOCIATION/NATIONAL  
EDUCATION ASSOCIATION

*Daniel E. Murphy, Esq.,*

for the Acting General Counsel.

*Lisa A. McGarrity, Esq. (Franczek Radelet P.C.),*

for the Respondent.

*Laurie M. Burgess, Esq. (Burgess Law Offices),*

for the Charging Party.

DECISION

Statement of the Case

**ROBERT A. RINGLER, Administrative Law Judge.** This case was tried in Chicago, Illinois, from February 6 to 8, 2012. On February 1, 2011, the Part-time Faculty Association at Columbia College Chicago-Illinois Education Association/National Education Association (the Union or PFAC) filed the original charge involved herein. The resulting complaint alleged that Columbia College Chicago (the College or Respondent) violated Section 8(a)(1) and (5) of the National Labor Relations Act (the Act) by, inter alia: threatening that it would no longer informally meet with the Union to discuss labor relations matters; failing to provide certain relevant information to the Union; and failing to negotiate with the Union concerning the effects of its decision to change the course scheduling procedure for part-time faculty in the history, humanities and social sciences (HHSS) department.

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<sup>1</sup> This case was formerly identified as Case 13-CA-46562.

<sup>2</sup> At the hearing, Counsel for the Acting General Counsel moved to sever and remand to the Regional Director the full complaint in Case 13-CA-60793, and paragraphs 6 and 12 of the complaint in Case 30–CA–18888, on the basis of the parties’ bilateral settlement. His unopposed motion was granted.

On the entire record, including my observation of the demeanor of the witnesses, and after thoroughly considering the parties’ briefs, I make the following:

**Findings of Fact**

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**I. Jurisdiction**

At all material times, the College has operated an institution of higher learning, which specializes in visual, media, performing and communication arts at its Chicago, Illinois campus (the facility). Annually, in conducting its operations, it derives gross revenues in excess of \$1 million and purchases and receives at the facility goods and services valued in excess of \$5000 directly from points located outside of the State of Illinois. Based upon the foregoing, it admits, and I find, that it is an employer engaged in commerce within the meaning of Section 2(2), (6), and (7) of the Act. It further admits, and I find, that the Union is a labor organization within the meaning of Section 2(5) of the Act.

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**II. Alleged Unfair Labor Practices**

**A. Introduction**

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The majority of the controlling facts are undisputed. The College consists of three schools: fine and performing arts; liberal arts and sciences; and media arts. Each school, which is run by a Dean,<sup>3</sup> houses several departments.<sup>4</sup> All Deans report to Dr. Louise Love, Vice President for Academic Affairs. The College offers undergraduate and graduate studies, and uses a semester system. Most courses are held in the fall and spring semesters, which are 15 weeks long.<sup>5</sup> Enrollment usually ranges from 9000 to 12,000 students. (R. Exhs. 3-4).

**B. Union’s Representation of the Bargaining Unit**

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In 1998, the Union was certified as the exclusive collective-bargaining representative of the following appropriate bargaining unit (the unit):

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[A]ll part-time faculty members who have completed teaching at least one semester at [the] . . . College . . . , excluding all other employees, full-time faculty, . . . graduate students, . . . managers and confidential employees, guards, and supervisors as defined in the Act.

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(GC Exh. 2). The College has continuously recognized the Union as the unit’s representative; this recognition has been embodied in successive contracts, the most recent of which expired on August 31, 2010 (the 06–10 CBA). (Id.). There are approximately 1300 employees in the unit.

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<sup>3</sup> Deans are aided by associate and assistant deans.

<sup>4</sup> There are 23 departments at the College. Departments are run by department chairs, who report to deans.

<sup>5</sup> The fall semester runs from September to December; the spring semester runs from January to May.

**C. The 06-10 CBA**

Following the expiration of the 06-10 CBA, the parties have sought to negotiate a successor agreement. Although their efforts have not yet produced another contract, they have agreed to follow the 06-10 CBA, until it is supplanted by their new agreement. (Tr. 166.) Portions of the 06-10 CBA are, accordingly, relevant.

**1. Management-rights clause**

*a. Extant management-rights clause<sup>6</sup>*

The 06–10 CBA contained a management-rights clause, which granted the College the unilateral right to schedule the unit’s teaching assignments. Specifically, article II provides:

All the rights . . . [of the College] shall be . . . exercised in their sole discretion including . . . [t]he right to . . . . schedul[e], . . . transfer . . . any . . . course . . . [and] [t]he right to . . . assign . . . [and] appoint . . . .

(GC Exh. 2 at 2).

*b. Management rights bargaining proposal*

On October 29, 2010, the College proposed to modify the current management-rights clause, and sought to add effects bargaining to the menu of waived bargaining subjects:

All the rights . . . [of the College], **including the effects or impact of their decision to exercise such rights and responsibilities**, shall be . . . exercised in their sole discretion including . . . [t]he right to . . . . schedul[e], . . . transfer . . . any . . . course . . . [and] [t]he right to . . . assign . . . [and] appoint . . . .

(GC Exhs. 2, 24, 25-A, B) (emphasis added).

**2. Course cancellation fee**

Part-time faculty members, whose classes have been cancelled, receive a \$100-cancellation fee. Article VIII provides that, “[i]f an offered and accepted course is withdrawn prior to the start of classes, without an equivalent course replacement, the unit member shall be paid . . . \$100.” (GC Exh. 2).

**D. The Rollover Scheduling System: the Former HHSS Scheduling System**

Prior to the unilateral change at issue, the College employed a rollover scheduling system to distribute teaching assignments to part-time HHSS faculty. Dr. Lisa Brock, chair of

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<sup>6</sup> Although a management-rights clause generally will not survive the expiration of a contract (see *Racetrack Food Services*, 353 NLRB No. 76 (2008)), the parties conceded at the hearing, as well as in their briefs, that this clause survived the expiration of the 06-10 CBA.

the HHSS department from August 2003 to September 2011,<sup>7</sup> stated that she oversaw HHSS’ operations. She explained that most HHSS courses were taught by part-time faculty. She averred that Academic Manager Tomiwa Shonekan helped schedule part-time HHSS faculty. She credibly testified that, during her tenure, HHSS used the following nine-step, rollover scheduling system to assign courses to unit faculty:

Step	Description
1	A template was created, which described a part-time professor’s teaching schedule during the same semester of the prior calendar year. <sup>8</sup>
2	The template was then incorporated into a Faculty Teaching Availability form (the Availability form), which was disseminated to the applicable faculty member. See, e.g., (CP Exh. 1).
3	Part-time faculty members made minor changes to their Availability forms (i.e. changed class times, etc.), and resubmitted their Availability forms to the scheduler.
4	The scheduler then assembled the data from the Availability forms and created a course schedule that was electronically posted on the Online Access Student Information System (OASIS).
5	Students then electronically registered for the upcoming semester’s courses on OASIS.
6	Courses, which attracted sufficient registrants, remained on the schedule, while courses, which failed to attract sufficient registrants, were cancelled. <sup>9</sup>
7	Part-time faculty members, whose courses survived the registration phase, received a letter from the Office of the Provost, which described their upcoming semester schedule and compensation.
8	Under limited circumstances, senior part-time faculty members with 51 credit hours of teaching, whose classes were cancelled, were allowed to “bump” less senior part-time faculty. (GC Exh. 2 at 8).
9	Part-time faculty members, whose courses remained cancelled, received a \$100 cancellation fee. (Id.).

John Stevenson, part-time HHSS professor since 1991, and Mary Lou Carroll, part-time HHSS professor since 2005, testified that, under the rollover scheduling system, the College consistently offered students, on their behalves, 3 courses per semester for registration on OASIS. (GC Exhs. 3-4, 9-10). They stated that, as a result, they typically taught 3 courses per semester, under the rollover scheduling system. (GC Exhs. 9-10).

**E. November 3, 2010: Modification of HHSS’ Rollover Scheduling System**

On November 3, 2010, Dr. Cadence Wynter, acting chair of the HHSS department, distributed this email to unit faculty, which announced the College’s decision to change the rollover scheduling system in the HHSS department:<sup>10</sup>

**This is to inform you of schedule changes for the Spring semester 2011.**

<sup>7</sup> Since September 2011, she has been employed as an educator at Kalamazoo College. Between September 2011 and August 2010, she was on a 1-year sabbatical from the College.

<sup>8</sup> For instance, HHSS used a professor’s fall 2010 schedule as a template for setting up their fall 2011 schedule.

<sup>9</sup> Dr. Brock stated that, if less than 10 students enrolled in a course, it was typically cancelled, absent special circumstances. She estimated that, out of the 300 HHSS courses offered per semester, only 10 were cancelled.

<sup>10</sup> The email was distributed to part-time faculty members by Shonekan, on behalf of Dr. Wynter. At the hearing, the College’s objection that Shonekan’s out-of-court statements were inadmissible hearsay was denied. Shonekan, who distributed hiring and scheduling emails, letters, policies and memoranda on behalf of Drs. Brock and Wynter, was, minimally, an agent. See Fed. R. Evid. 801(d)(2) (agent’s admissions are not hearsay).

**Adjunct faculty members in [HHSS] . . . will be scheduled for a maximum of two classes next semester.** Adjunct faculty members who indicated that they are available to teach a third class will only be assigned a third class . . . if student enrollment deems this necessary. . . .

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(GC Exh. 5) (emphasis added).<sup>11</sup>

10 Dr. Wynter testified that she altered the rollover scheduling system, in order to remedy an ongoing over-scheduling dilemma. She asserted that, under the rollover scheduling system, the College would initially offer too many courses for student registration on OASIS, and consequently cancel several courses, due to low registration. She stated that such cancellations caused students to make undesirable, last minute schedule adjustments, and forced the College to pay unwarranted cancellation fees to the unit. She indicated that, by initially limiting part-time HHSS professors to two courses per semester, she minimized low enrollment cancellations, added third courses in accordance with demand, and controlled cancellation costs. (Tr. 438-39).

#### F. Union’s Initial Response

20 The Union reacted to the College’s decision to revise the rollover scheduling system by taking these two steps. First, it filed a grievance, which alleged that the College’s actions violated the 06-10 CBA. (GC Exh. 14). Second, it requested rescission of the unilateral change and bargaining. (GC Exh. 6).

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#### G. November 9, 2010 Meeting

30 On November 9, 2010, the parties met to discuss the HHSS scheduling issue. Professor Stevenson credibly testified that, although the Union vociferously objected to the College’s unilateral decision to modify the rollover scheduling system, Dr. Wynter responded that:

She had received a directive from the Dean’s office telling her that there were too many courses and too many sections being offered in the department and that she should find a way to deal with that. . . .

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(Tr. 103). Professor Carroll corroborated his account.

40 Dr. Wynter testified that she explained the rationale behind her actions at this meeting. She discounted, however, the degree that the rollover scheduling system was modified and said that:<sup>12</sup>

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<sup>11</sup> Dr. Wynter testified that that the email was drafted to, “explain to [the part-time faculty] . . . why they were now only going to have two courses that students could register for.” (Tr. 463).

<sup>12</sup> In December 2010, the College distributed Adjunct Faculty Teaching Assignments forms to part-time faculty. (CP Exhs. 5–6). These forms, which were disseminated by Shonekan, memorialized the spring 2011 courses that it would have offered to students on OASIS on behalf of part-time faculty, absent altering the Rollover Scheduling System. These forms contained a handwritten note identifying “held” and “cancelled” courses.

It was only a change to the extent that . . . I . . . tie[d] the schedule to student enrollment, rather than offering many courses that then had to be cancelled.

(Tr. 468.)

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**H. December 15, 2010 Information Request**

Union Representative William Silver testified that, on December 15, 2010, the Union sent the following information and bargaining request to Dr. Love (Information Request #1):

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On November 5, 2010, [the] Union . . . sent you a request to meet over the issue of a "two-class" limit that we believed was being imposed in two departments. I have been informed that no such meeting was held since that request.

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It has now come to our attention that widespread course reductions have been expanded to include several other departments, including Arts, Entertainment and Media Management (AEMM) and Humanities, History and Social Sciences (HHSS).

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The union again requests to meet to discuss these class schedule reductions. We request to bargain over the impact of these changes . . . .

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Please provide the union with the following relevant information:

1. The full extent of the class assignment changes, including:
  - a. A list of all individuals and their department who have had their class assignments reduced, and
  - b. For each individual, the exact number of classes that he/she is eligible to receive during the upcoming semester;
2. The number of College credit hours that has previously been taught for each of the affected faculty members.
3. The nature of the notification that was provided to the affected faculty staff;
4. The reasons for the class assignment changes; and
5. The efforts being made by the College to find other class(es) for affected faculty members.

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The Union requests that the College refrain from implementing these changes until such time as the parties are able to meet . . . .

(GC Exh. 27).

**I. December 17, 2010 Meetings**

**1. Bargaining session<sup>13</sup>**

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Silver credibly testified that, at this first meeting, the Union complained about the College’s modification of the rollover scheduling system. He added that the Union announced that it was unable to fully understand the change or its rationale, until the College first responded to Information Request #1. He indicated that Dr. Love reported that the College was not yet ready to bargain over this issue, and directed the Union to follow-up with Marcus.<sup>14</sup>

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Dr. Love agreed that she instructed the Union to discuss the scheduling issue with Marcus. Kelly testified that the College was unprepared to negotiate at this meeting, and tabled the effects bargaining discussions until January 13, 2011. See (R. Exh. 14).

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**2. Grievance meeting<sup>15</sup>**

Silver stated that Marcus told the Union that the College’s response to Information Request #1 would be forthcoming. He added that, although she acknowledged the Union’s pending grievance, she would not discuss it any further, beyond stating that it was not meritorious.

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Marcus acknowledged telling the Union that she needed more time to reply to Information Request #1 as well as the grievance.<sup>16</sup> She said that, because the spring semester did not begin until late-January, any information related to spring assignments was unavailable.

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**J. December 20, 2010 Information Request**

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On December 20, 2010, the Union requested the following additional information (Information Request #2):<sup>17</sup>

[1] Any and all . . . communications . . . between [HHSS] . . . and the College[’s administration] . . . that . . . led to the proposed schedule change . . . .

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[2] College-wide enrollment data for . . . 2008, 2009, 2010, and . . . 2011 . . .

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<sup>13</sup> Dr. Love, General Counsel Annice Kelly, Associate Vice President for Budget Management John Wilkin and others represented the College, while the Union was represented by Professor Vallera, Silver and others.

<sup>14</sup> Professor Vallera corroborated Silver’s testimony. See (Tr. 277; GC Exh. 29).

<sup>15</sup> Marcus represented the College, while Silver and Professors Vallera and Carroll represented the Union.

<sup>16</sup> The College subsequently denied the grievance. See (GC Exhs. 30-31).

<sup>17</sup> Although the request is dated November 3, 2010 (GC Exh. 15), the parties agreed that it was tendered on December 20, 2010. (Tr. 386; JT Exh 1; GC Exh. 1 (complaint and answer); GC Br. at 16; R. Br. at 15).

- 5 [3] Criteria employed by HHSS . . . in selecting courses to be dropped, added, changed, . . . or eliminated for Spring 2011 student registration.
- [4] List of hired adjunct faculty in HHSS . . . from . . . 2008 to . . . 2011.
- [5] List of HHSS . . . adjunct faculty by accrued credit hours as of Fall 2010 semester.
- 10 [6] List of classes . . . withheld from Spring 2011 registration roster.
- [7] List of classes . . . added to Spring 2011 registration roster.
- [8] List of classes . . . substituted for other classes or sections to Spring 2011 registration roster.
- 15 [9] List of classes . . . dropped from Spring 2011 registration roster.
- [10] List of classes . . . claimed pursuant to "bumping" privilege on Spring 2011 registration roster.
- 20 [11] List of adjunct faculty who indicated their availability to teach three courses in Spring 2011 semester.
- 25 [12] List of adjunct faculty who indicated their availability to teach three courses in Spring 2011 semester and who were assigned to teach less than three courses in Spring 2011 semester.
- [13] List of adjunct faculty who indicated their availability to teach three courses in Spring 2011 semester and who were assigned to teach three courses in Spring 2011 semester.
- 30 [14] List of adjunct faculty who lost classes in any combination for Spring 2011 semester.
- 35 [15] List of adjunct faculty whose teaching load increased from Fall 2010 to Spring 2011 semester.
- [16] Copies of adjunct faculty Availability to Teach forms for Spring 2011 semester.
- 40 [17] Copies or summaries of all intra-Department . . . communications relating to the proposed schedule change . . . .
- 45 [18] Copies of all curriculum-based rationale for the proposed schedule change to Spring 2011 adjunct faculty teaching assignments.

(GC Exh. 15).

**K. Release of “Held” Spring 2011 Courses**

Dr. Wynter stated that, following her initial distribution of spring semester 2011 courses in November 2010, she released several “held” courses to the part-time HHSS faculty. She said that, as a result, most HHSS part-timers, who sought a third course, received one. (R. Exhs. 6-8). She added that a few part-timers rejected the third course that was offered. See (R. Exhs. 9-11).

**L. January 13, 2011 Meeting<sup>18</sup>**

The parties met again on January 13, 2011. (GC Exh. 32). Silver testified that Professor Vallera reminded the College that it had not, to date, answered Information Requests #1 and #2. He stated that Kelly responded that the College would not reply, until the Union first identified which faculty members were impacted by the change. He said that Professor Vallera replied that Kelly was placing the Union in a “catch-22” situation, by requiring it to first provide the same information that was being sought. He recalled that the College then asked the Union for an effects bargaining proposal, and that the Union responded that it could not make such a proposal, until it first received the requested information. See also (GC Exh. 33-A).

Wilkin testified that the parties discussed the scheduling changeover at this meeting. His bargaining notes reflected that the Union protested the change and compared it to a layoff. See (R. Exh. 15). Kelly added that the College sought clarification from the Union regarding its bargaining position.

**M. January 13, 2011: Partial Reply to Information Request #1**

Wilkin provided a partial reply to Information Request #1, which is summarized below:

<u>Request</u>	<u>Response</u>
1(a). “A list of all individuals and their department who have had their class assignments reduced . . . .”	Information not provided.
1(b). “For each individual, the exact number of classes that he/she is eligible to receive during the upcoming semester.”	Information not provided.
2. “The number of College credit hours that has previously been taught for each of the affected faculty members.”	List of unit faculty members and their accumulated credit hours was provided.
3. “The nature of the notification that was provided to the affected faculty staff.”	Information not provided.
4. “The reasons for the class assignment changes.”	Information not provided.
5. “The efforts being made by the College to find other class(es) for affected faculty members.”	Information not provided.

(GC Exh. 27; R. Exh. 5).

<sup>18</sup> The College was represented by Kelly and Dr. Love; the Union was represented by Professors Vallera and Silver.

**N. January 21, 2011 Meeting<sup>19</sup>**

**1. Alleged threat**

5 Silver reported that the Union raised a scenario about a high-seniority professor, who  
it believed should bump a low-seniority professor out of their teaching assignment under the  
06-10 CBA. He indicated that the College offered to address the issue, in exchange for the  
Union’s withdrawal of the instant unfair labor practice charge. See (GC Exh. 34). He added  
that Dr. Love indicated that she would no longer address isolated grievances during  
10 bargaining, due to the flood of grievances and charges. Professor Vallera corroborated  
Silver’s testimony.

Dr. Love credibly recollected that she made the following statement about grievances:

15 I couldn’t meet informally because there’s [now] someone else, who was  
designated to meet informally, and to liaise with F-Pac about the current  
contract that we were working under, whereas my role was to be at the  
bargaining table at that point to negotiate a new contract that we were working  
under, whereas my role was to be at the bargaining table to negotiate a new  
20 contract. So, we were really trying to clarify ourselves be very clear to stick to  
our own, . . . designated policies of who does what. . . .

My job had been to be liaison with F-Pac, and I would meet with them weekly  
or biweekly . . . talk about informal and formal grievances. All the  
25 implementation of the contract was mine. But, when Susan Marcus came that  
became her job.

(Tr. 347-48). She further explained that, after Marcus began her position in the Fall of 2009,  
she temporarily continued to meet with the Union to discuss grievances during Marcus’  
30 orientation. She added that this scenario eventually became confusing, and, as a result, she  
told the Union to solely meet with Marcus about grievances, in order to avoid continued  
confusion. She stated that this change was not retaliatory, and was solely pragmatic. Kelly  
corroborated her testimony.

35 Given that Silver and Professor Vallera testified that Dr. Love announced that she  
would no longer address grievances during bargaining as retaliation against the Union’s flood  
of grievances and charges, and Dr. Love and Kelly stated that Dr. Love solely directed the  
Union to address grievances with Marcus in order to avoid the confusion associated with  
multiple forums, I must make a credibility resolution. For several reasons, I credit Dr. Love  
40 and Kelly on this close issue. First, they provided clearer accounts; their testimonies were  
detailed and their recollections were somewhat stronger. Second, their accounts were  
plausible. It’s abundantly reasonable that the College wanted to limit negotiations to  
bargaining, in light of the fact that the parties’ negotiations have effectively dragged on  
indefinitely. It’s equally likely that Dr. Love would have openly relegated grievance handling  
45 duties to Marcus, once she became fully oriented, in order to concentrate on other competing

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<sup>19</sup> The College was represented by Kelly and Dr. Love; the Union was represented by Professor Vallera and Silver.

obligations, and permit Marcus to take a more consistent approach on behalf of the College concerning all step-1 grievances.

**2. Discussion regarding the alteration of the rollover scheduling system**

Wilkin stated that the College was available to conduct effects bargaining at this meeting, but, the Union was unwilling to do so. See also (R. Exhs. 15, 22). He added that the Union subsequently failed to schedule additional effects bargaining sessions. Kelly indicated that the Union never requested subsequent bargaining sessions, and that the College never refused to continue to meet with them regarding this matter.

**O. February 21, 2011 Reply to Information Request #2**

On February 21, 2011, the College replied to Information Request #2. See (JT Exh. 1). Silver said that the Union remained unsatisfied with their response, which he classified as untimely and insufficient. The College’s response is summarized below:

<u>Request</u>	<u>Response</u>
1. “[C]ommunications . . . between [HHSS] . . . and the College[‘s] [administration] . . . that . . . led to the proposed schedule change . . . .”	“No [responsive] documents . . . .”
2. “College-wide enrollment data for . . . 2008, 2009, 2010, . . . 2011.”	“Hard copies . . . attached.”
3. “Criteria employed by HHSS . . . in selecting courses to be dropped, added, changed . . . or eliminated for Spring 2011 student registration.”	“No [responsive] documents . . . .”
4. “List of hired adjunct faculty in HHSS . . . from [2008 to 2011] . . . .”	“Attached”
5. “List of HHSS . . . adjunct faculty by accrued credit hours as of Fall 2010 semester.”	“Attached”
6. “List of classes . . . withheld from Spring 2011 registration roster.”	“No [responsive] documents . . . .”
7. “List of classes . . . added to Spring 2011 registration roster.”	“No [responsive] documents . . . .”
8. “List of classes . . . substituted . . . [in] Spring 2011.”	“None”
9. “List of classes . . . dropped from Spring 2011 registration roster.”	“None”
10. “List of classes . . . claimed pursuant to ‘bumping’ privilege on Spring 2011 registration roster.”	“None”
11. “List of adjunct faculty who indicated their availability to teach three courses in Spring 2011 semester.”	“Availability forms attached.”
12. “List of adjunct faculty . . . availab[le] to teach three courses in Spring 2011 . . . [and] were assigned to teach less than three courses.”	“Availability forms . . . and . . . part-time faculty list is attached.”
13. “List of adjunct faculty . . . availab[le] to teach three courses in Spring 2011 . . . [and] who were assigned to teach three courses.”	“Availability forms . . . and . . . part-time faculty list is attached.”
14. “List of adjunct faculty who lost classes in . . . Spring 2011.”	“[N]o category of ‘lost’ courses.”
15. “List of adjunct faculty whose teaching load increased from Fall 2010 to Spring 2011 semester.”	“Fall 2010 and Spring 2011 . . . faculty list is attached.”
16. “[A]djunct faculty Availability to Teach forms for Spring 2011.”	“Attached”
17. “Copies or summaries of all intra-Department . . . communications relating to the proposed schedule change . . . .”	“No documents responsive to this request”
18. “Copies of all curriculum-based rationale for the proposed schedule change to Spring 2011 adjunct faculty teaching assignments.”	“No documents responsive to this request”

(GC Exh. 15; JT Exh. 1).

Professor Vallera testified that, before the hearing, in response to a subpoena request, the Union received various Adjunct Faculty teaching assignment forms dated December 2010 and January 2011, which described classes that were requested, held, cancelled and assigned

in HHSS during the spring 2011 semester. See (CP Exhs. 4-6). She averred that these documents would have been responsive to Information Request #2, but, were never supplied.

5 Marcus testified that she helped prepare the College’s reply to Information Request #2. She stated that, on January 20, 2011, she advised the Union that the College was still compiling its reply. See (R. Exh. 13). She added that it took 2 months for the College to respond to Information Request #2 because, at the time, the Union had tendered multiple competing information requests. She asserted that some of these requests were lengthy. She said that the timeliness of the College’s reply was further impacted by the Shonekan’s discharge, who would have ordinarily aided the College’s response. She averred that she turned over all responsive documents in the College’s possession.<sup>20</sup> (Tr. 400). She stated that the Union never told her that the College’s reply was inadequate. She added that the Union’s request was vague.

15 Dr. Wynter testified that she helped Marcus assemble the College’s response. She stated that Shonekan left HHSS’ records in disarray, and that she did her best to compile a reply. She added that she never withheld any records from the Union.<sup>21</sup> Dr. Love asserted that, in response to the information requests, she provided all existing documents; she added that there were simply no responsive documents in certain cases.

20 **III. Analysis**

**A. Section 8(a)(1) Threat<sup>22</sup>**

25 Dr. Love’s comments did not violate Section 8(a)(1). The complaint alleged that, on January 21, 2011, Dr. Love engaged in the following unlawful conduct:

30 [T]hreatened that she would no longer meet informally with Union representatives to discuss individual matters because the charges and grievances that the Union had been filing, and that she was now going to follow the formal procedures for dealing with such individual matters.

35 (GC Exh. 1). An employer violates Section 8(a)(1), when it engages in conduct that reasonably tends to interfere with employees’ Section 7 rights. *American Freightways Co.*, 124 NLRB 146 (1959). Such unlawful conduct includes threatening the stricter enforcement of company rules, in response to grievance-filing. See *Schrock Cabinet Co.*, 339 NLRB 182, 185 (2003).

40 Dr. Love stated that she would no longer address isolated grievances during bargaining, as a consequence of Marcus’ new role and the need for greater consistency in the College’s grievance handling. She did not threaten to abandon the grievance process or retaliate against the Union; she only allocated this key function to another employee.<sup>23</sup> Such

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<sup>20</sup> She stated that she did not have CP Exhs. 4-5 in her possession. She stated that she was not previously aware that such “Adjunct Faculty teaching assignment forms with handwriting on them existed.” (Tr. 403.)

<sup>21</sup> She denied previously seeing CP Exhs. 4-5, or telling Shonekan to add notations to such documents.

<sup>22</sup> This allegation is listed under pars. 10 and 11 of the complaint.

<sup>23</sup> Given that negotiations have not yielded a new contract, Dr. Love’s deletion of a distraction from the

commentary was lawful.

**B. Section 8(a)(5) Allegations**

5 **1. Information request allegations<sup>24</sup>**

The College violated Section 8(a)(5), when it failed to adequately respond to Information Requests #1 and #2. An employer must provide requested information to a union representing its employees, whenever there is a probability that such information is necessary and relevant to its representational duties. See *NLRB v. Acme Industrial Co.*, 385 U.S. 432 (1967); *NLRB v. Truitt Mfg. Co.*, 351 U.S. 149 (1956). This duty encompasses the obligation to provide relevant bargaining and grievance processing materials. See *Postal Service*, 337 NLRB 820, 822 (2002). The standard for relevancy is a “liberal discovery-type standard,” and the sought-after evidence need only have a bearing upon the disputed issue. See *Pfizer, Inc.*, 268 NLRB 916 (1984). Concerning information connected grievance-handling, the Board has held that:

20 The Union is entitled to the information in order to determine whether it should exercise its representative function in the pending matter, that is, whether the information will warrant further processing of the grievance or bargaining about the disputed mater.

*Ohio Power Co.*, 216 NLRB 987, 991 (1975), enfd. 531 F.2d 1381 (6th Cir. 1976).

25 Information, which concerns unit terms and conditions of employment, is “so intrinsic to the core of the employer-employee relationship” that it is presumptively relevant. *York International Corp.*, 290 NLRB 438 (1988). When material is presumptively relevant, the burden shifts to the company to establish a lack of relevance. *Newspaper Guild Local 95 (San Diego) v. NLRB*, 548 F. 2d 863, 867 (9th Cir. 1977).

30 In addition to an employer's duty to provide necessary and relevant information, “an unreasonable delay in furnishing such information is as much a violation of the Act as a refusal to furnish the information at all.” *Postal Service*, 332 NLRB 635, 640 (2000). “Absent evidence justifying an employer's delay in furnishing a union with relevant information, such a delay will constitute a violation . . . inasmuch ‘[a]s the Union was entitled to the information at the time it made its initial request, [and] it was [the employer's] duty to furnish it as promptly as possible.’” *Woodland Clinic*, 331 NLRB 735, 737 (2000), quoting *Pennco, Inc.*, 212 NLRB 677, 678 (1974). The Board evaluates the reasonableness of a delay in supplying information, on the basis of “the complexity and extent of the information sought, its availability and the difficulty in retrieving the information.” *Samaritan Medical Center*, 319 NLRB 392, 398 (1995). The Board has consequently found multi-month delays in providing information unreasonable.<sup>25</sup> Additionally, an employer cannot justify delays in

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bargaining table was, arguably, beneficial.

<sup>24</sup> These allegations are listed under pars. 7, 8 and 13 of the complaint.

<sup>25</sup> See *Pan American Grain Co.*, 343 NLRB 318 (2004), enfd. in relevant part 432 F.3d 69 (1st Cir. 2005) (3-month delay was unreasonable); *Bundy Corp.*, 292 NLRB 671 (1989) (2.5-month delay); *Woodland Clinic*, supra, 331 NLRB at 737 (7-week delay).

supplying information on the basis of other, unrelated, information requests. See *Daimler Chrysler Corp.*, 344 NLRB 1324, 1330 (2005). Finally, where an information request is vague, the onus to request clarification rests with the employer. See *Keauhou Beach Hotel*, 298 NLRB 702, 702 (1990).

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Concerning Information Request #1, the College wholly failed to respond to various components of this request. As noted, it responded as follows:

<b>Request</b>	<b>Response</b>
1(a). “A list of all individuals and their department who have had their class assignments reduced . . . .”	Information not provided.
1(b). “For each individual, the exact number of classes that he/she is eligible to receive during the upcoming semester.”	Information not provided.
2. “The number of College credit hours that has previously been taught for each of the affected faculty members.”	List of unit faculty members and their accumulated credit hours was provided.
3. “The nature of the notification that was provided to the affected faculty staff.”	Information not provided.
4. “The reasons for the class assignment changes.”	Information not provided.
5. “The efforts being made by the College to find other class(es) for affected faculty members.”	Information not provided.

10 Items 1(a) and (b), 3, 4 and 5,<sup>26</sup> which were not provided, sought “presumptively relevant” unit information. This information would have allowed the Union to gauge whether the College had modified its course assignment system outside of HHSS, which would have allowed it to gauge whether additional unfair labor practice charges or grievances were merited. Such information could have also aided its ongoing negotiation of a successor  
 15 agreement, and could have, upon review, prompted it to propose an alternative scheduling methodology. The College, therefore, violated the Act by failing to comprehensively reply to Information Request #1.

20 Concerning Information Request #2, even assuming *arguendo* that the College fully answered this request,<sup>27</sup> it violated the Act by responding in an untimely manner. The College, which received this request on December 20, 2010, did not respond until February 21, 2011, which was 2 months later. This 2-month delay was unreasonable, given that the Union’s request was neither overly complex nor voluminous, and the information was readily available. See *Woodland Clinic*, *supra*. Moreover, the College cannot justify its delayed  
 25 response by asserting that its delay was triggered by competing information requests. See *DaimlerChrysler Corp.*, *supra*. Lastly, to the extent that the College deemed this request vague, which it was not, the onus nevertheless rested on the College to seek prompt clarification, which it neglected to do. See *Keauhou Beach Hotel*, *supra*. The College, as a result, violated the Act by unreasonably delaying its response to Information Request #2.

<sup>26</sup> In its brief, the College avers that the Union could have derived a response to items 1(a) and (b) by comparing and contrasting previously submitted documents. Even assuming *arguendo* that this proffered subtraction exercise, which is neither obvious nor previously communicated, fulfilled the College’s duty to respond to items 1(a) and (b), it nevertheless violated the Act by failing to provide items 3-5.

<sup>27</sup> There is an obvious dispute over whether the College fully responded to Information Request #2. See, e.g. (CP Exhs. 4-6 and related testimony). The Order, which directs the College to supply all requested information to the extent that it has not already done so, should, therefore, be construed by the College as requiring it to engage in a revised and thorough search of all of its records, in order to verify that it has fully complied with both requests.

**2. Effects bargaining allegation<sup>28</sup>**

5 The College violated Section 8(a)(5) of the Act, when it failed to bargain with the  
 Union concerning the effects of its decision to modify the rollover scheduling system in  
 HHSS. Section 8(a)(5) provides that it is an unfair labor practice for an employer “to refuse  
 to bargain collectively with the representatives of its employees.” 29 U.S.C. § 158(a)(5).  
 Section 8(d) explains that “to bargain collectively” is to “meet and confer in good faith with  
 10 an agreement or any question arising thereunder.” *Id.* at § 158(d).

An employer’s bargaining obligation includes a duty to bargain about the effects on  
 unit employees of management decisions, which are not subject to bargaining obligations.  
 See *First National Maintenance Corp. v. NLRB*, 452 U.S. 666, 681–682 (1981); *Champion*  
 15 *International Corp.*, 339 NLRB 672 (2003). As a general matter, an employer must bargain  
 over the effects on unit employees of decisions involving non-mandatory subjects, whenever  
 these effects cause “material, substantial, and significant” changes to unit working conditions.  
*The Bohemian Club*, 351 NLRB 1065, 1066–67 (2007). Effects bargaining “must be  
 conducted in a meaningful manner and at a meaningful time.” *First National Maintenance*,  
 20 *supra*, 452 U.S. at 682.

Although the College’s decision to modify the rollover scheduling system in HHSS  
 was admittedly not a mandatory bargaining topic,<sup>29</sup> the effects of this decision remained a  
 mandatory bargaining subject. The College, consequently, violated Section 8(a)(5), when it  
 25 unilaterally modified the rollover scheduling system in HHSS, without bargaining with the  
 Union over the associated unit effects. The College’s decision had the following “material,  
 substantial, and significant” consequences on unit HHSS employees: (1) HHSS faculty, who  
 had taught three courses in the prior year’s semester, lost their opportunity to have these three  
 courses offered to students for registration on OASIS;<sup>30</sup> (2) HHSS faculty, who were popular  
 30 with students, lost the opportunity to have their third course offered to a student body that  
 would have likely rewarded their status with strong registration results;<sup>31</sup> (3) HHSS faculty,  
 who were creative or aggressive in marketing their courses to students, lost their opportunity  
 to enhance their ability to secure a third course via such means;<sup>32</sup> and (4) HHSS faculty, who

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<sup>28</sup> This allegation is listed under pars. 9 and 13 of the complaint.

<sup>29</sup> The College’s decision to modify the rollover scheduling system was not alleged to be a mandatory subject  
 of bargaining in the complaint, inasmuch as the Union waived the right to bargain over such decisions in the  
 management-rights clause of the 06–10 CBA. See (GC Exh. 2).

<sup>30</sup> HHSS faculty consequently lost substantial control; they went from having a greater assurance that all three  
 of their prior year’s courses would be offered to students on OASIS to having only two courses initially  
 offered for registration, and then being subject to the administration’s unbridled discretion concerning  
 whether they might receive a third course at a later date. This additional uncertainty likely increased stress,  
 and potentially precluded some faculty members from committing to teach additional courses at other  
 institutions, out of fear that they might eventually be assigned a third course that would conflict with other  
 teaching commitments.

<sup>31</sup> Popular professors, whose courses were in high demand, lost what would have been an almost-guaranteed  
 third course, and were relegated to the same status as part-time faculty, who lacked a significant student  
 following.

<sup>32</sup> Professors, who were adept at advertising and otherwise maximizing demand for their courses, lost this  
 opportunity. They were, as a result, relegated to the same status as faculty, who neglected such efforts.

had previously taught a third course, lost their opportunity to receive a \$100-cancellation stipend, in the event that their third course was cancelled due to low enrollment. These consequences collectively added up to a “material, substantial and significant” change to the unit’s terms and conditions of employment, and thus, prompted a bargaining obligation. See, e.g., *The Bohemian Club*, at 1066-67 (even relatively minor adjustments such as adding minimal duties or work time trigger a bargaining obligation); *Verizon New York, Inc.*, 339 NLRB 30 (2003).

Although the Union promptly requested effects bargaining over the College’s unilateral decision to modify the rollover scheduling system (see, e.g., GC Exhs. 6, 27), bargaining never occurred in a “meaningful manner.” First, the College’s ongoing failure to fulfill the Union’s information requests precluded meaningful bargaining. See *Miami Rivet of Puerto Rico*, 318 NLRB 769, 772 (1995) (“Union is not required to begin bargaining at a time when relevant information is being unlawfully withheld.”); *Southern Mail, Inc.*, 345 NLRB 644, 647–648 (2005); *Clemson Bros.*, 290 NLRB 944, 944 fn. 5 (1988). Second, the College’s piecemeal discussions with the Union regarding this issue were non-substantive, and fell far afield of good-faith bargaining. The College, as a result, failed to fulfill its effects bargaining obligation.

The College’s contention that the Union waived its right to engage in effects bargaining is meritless. In order to establish the waiver of a statutory right to bargain over changes in terms and conditions of employment, the party asserting waiver must establish that the right has been clearly and unmistakably relinquished. See, e.g., *Metropolitan Edison Co. v. NLRB*, 460 U.S. 693 (1981); *Provena St. Joseph Medical Center*, 350 NLRB 808, 811–812 (2007). Waivers may be found in the express language of the collective bargaining agreement, or can be inferred from bargaining history, past practice, or a combination thereof. *Johnson-Bateman Co.*, 295 NLRB 180, 185 (1989). The Board requires, however, that a matter was consciously explored during bargaining, and that a union unmistakably waived its interest. *Id.* For several reasons, the College’s waiver argument is unreasonable. First, the management rights clause in the 06-10 CBA does not expressly classify effects bargaining as a waived bargaining subject. See, e.g., *Allison Corp.*, 330 NLRB 1363, 1365 (2000) (holding that a similarly worded management-rights clause, which expressly waived decisional bargaining, did not also waive effects bargaining). Second, the College’s bargaining activity demonstrates that the Union did not waive its effects bargaining rights under the management-rights clause. As noted, on October 29, 2010, the College proposed to add “the effects or impact of their decision to exercise such rights and responsibilities” to the list of waived bargaining subjects in the management-rights clause. See (GC Exhs. 2, 24, 25-A, B). If an effects bargaining waiver had already existed in the 06-10 CBA, the College would have not proffered a redundant bargaining proposal. The College’s proposal on this matter deeply undercuts its waiver contention. Lastly, the College failed to demonstrate that an effects bargaining waiver was explored during past negotiations and consciously waived by the Union.

**Conclusions of Law<sup>33</sup>**

1. The College is an employer engaged in commerce within the meaning of Section 2(2), (6), and (7) of the Act.

2. The Union is a labor organization within the meaning of Section 2(5) of the Act.

3. The Union is, and, at all material times, was the exclusive bargaining representative for the following appropriate unit:

All part-time faculty members who have completed teaching at least one semester at the College, excluding all other employees, full-time faculty, artists-in-residence, graduate students, part-time faculty members teaching only continuing education, music lessons to individual students or book and paper making classes, full-time staff members, teachers employed by the Erickson Institute, the YMCA or Adler Planetarium, and other individuals not appearing on the College's payroll, managers and confidential employees, guards, and supervisors as defined in the Act.

4. The College violated Section 8(a)(1) and (5) of the Act by failing and refusing to provide information, and unreasonably delaying its provision of other information, requested by the Union, which was relevant to its representational duties.

5. The College violated Section 8(a)(1) and (5) of the Act by failing and refusing to bargain in good faith with the Union over the effects of its decision to modify its procedure for scheduling unit HHSS teaching assignments.

6. The unfair labor practices set forth above affect commerce within the meaning of Section 2(6) and (7) of the Act.

7. The College has not otherwise violated the Act.

**Remedy**

Having found that the College has engaged in certain unfair labor practices, it must be ordered to cease and desist and to take certain affirmative action designed to effectuate the policies of the Act.

To the extent that it has not already done so, the College shall provide the Union with the information requested in its December 15 and 20, 2010 requests. To remedy the College's unlawful failure to bargain in good faith with the Union over the effects of its decision to

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<sup>33</sup> The Union's request for the imposition of sanctions under *Bannon Mills*, 146 NLRB 611 (1964), which was based upon the College's reported failure to comply with certain subpoena requests covering the information and effects bargaining allegations, is denied. See (JT Exhs. 2–3 (admitted at posthearing teleconference) and oral hearing motion). Simply put, the Union, which has successfully established the information and effects bargaining violations, has not been prejudiced by the College's alleged inaction. *Bannon Mills* sanctions are, thus, not warranted.

modify the rollover scheduling system for its part-time HHSS faculty, it shall be ordered to bargain with the Union, upon request, about the effects of its decision. As a result of its unlawful conduct, however, unit employees have been denied an opportunity to bargain through their collective-bargaining representative at a time when a measure of balanced bargaining power existed. See *Rochester Gas & Electric Corp.*, 355 NLRB No. 86 (2010). Meaningful bargaining cannot be assured until some measure of economic strength is restored to the Union; a bargaining order alone, therefore, cannot serve as an adequate remedy for the unfair labor practices committed.<sup>34</sup>

Accordingly, it is necessary, in order to ensure that meaningful bargaining occurs and to effectuate the policies of the Act, to accompany this bargaining order with a limited backpay requirement designed both to make whole HHSS unit employees for losses suffered as a result of the violation, and to recreate in some practicable manner a situation in which the parties' bargaining position is not entirely devoid of economic consequences for the College. The College shall, as a result, pay the monetary value of a three-credit course to HHSS unit employees,<sup>35</sup> in a manner similar to that required in *Transmarine Navigation Corp.*, 170 NLRB 389 (1968), as clarified in *Melody Toyota*, 325 NLRB 846 (1998).<sup>36</sup> See *Rochester Gas & Electric Corp.*, supra.

Thus, the College shall pay its HHSS unit employees the value of a three-credit course at their normal rate, when last in the College's employ from 5 days after the date of the Board's decision and order, until the occurrence of the earliest of the following conditions: (1) the date the College bargains to agreement with the Union on those subjects pertaining to the effects of its decision to modify the rollover scheduling system for its unit employees; (2) a bona fide impasse in bargaining; (3) the Union's failure to request bargaining within 5 business days after receipt of the Board's decision and order, or to commence negotiations within 5 business days after receipt of the Respondent's notice of its desire to bargain with the Union; or (4) the Union's subsequent failure to bargain in good faith.

The sum paid to each HHSS employee shall not exceed the monetary value of a three-credit course from November 3, 2010 (i.e. the date the rollover scheduling system in HHSS was modified) until the date on which the College shall have offered to bargain in good faith. However, in no event shall the sum paid to any employee be less than the monetary value of a 3-credit course to that employee for a 2-week period.<sup>37</sup> Backpay amounts shall be based on earnings which HHSS unit employees would normally have received for a 3-credit course during the applicable period, less any net interim earnings, and shall be computed in

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<sup>34</sup> See *Rochester Gas & Electric Corp.*, supra, slip op. at 2-4 (holding that, although a *Transmarine* remedy is “typically granted when an employer fails to bargain over the effects of closing a facility or otherwise removing work from the bargaining unit,” it is also appropriate where an employer refused to bargain over the effects of its non-bargainable, managerial decision to discontinue an established workplace practice).

<sup>35</sup> The compensation for a 3-credit course can be derived from the 06-10 CBA. See (GC Exh. 2 at 13).

<sup>36</sup> Counsel for the Acting General Counsel's request that a *Transmarine* remedy be imposed for **each** semester that the College subjected HHSS unit employees to the new scheduling system is denied. This request, which minimally cover three semesters, i.e., spring 2011, fall 2011 and spring 2012 semesters, seeks a treble *Transmarine* remedy for a single bargaining violation. Treble *Transmarine* damages were not pled in the complaint, which only seeks a single, traditional *Transmarine* remedy, and would be tantamount to the imposition of punitive damages.

<sup>37</sup> The 2-week value of a three-credit course, which runs 15 weeks, can be found by multiplying the total value of a three-credit course by 2/15.

accordance with *Ogle Protection Service*, 183 NLRB 682, 683 (1970), enfd. 444 F.2d 502 (6th Cir. 1971), plus interest computed as set forth in *New Horizons for the Retarded*, 283 NLRB 1173 (1987), compounded daily as prescribed in *Kentucky River Medical Center*, 356 NLRB No. 8 (2010), enf. denied on other grounds sub nom. *Jackson Hospital Corp. v. NLRB*, 647 F.3d 1137 (D.C. Cir. 2011).

The College shall distribute appropriate remedial notices electronically via email, intranet, internet, or other appropriate electronic means to unit employees at the facility, in addition to the traditional physical posting of paper notices. See *J Picini Flooring*, 356 NLRB No. 9 (2010).

On these findings of fact and conclusions of law, and on the entire record, I issue the following recommended<sup>38</sup>

**ORDER**

The College, its officers, agents, successors, and assigns, shall

1. Cease and desist from

a. Failing and refusing to provide the Union with requested information that is relevant and necessary to its performance of its duties as the exclusive collective-bargaining representative of employees in the unit described below, and/or unreasonably delaying its provision of such information.

b. Refusing to bargain with the Union over the effects of modifying the rollover scheduling system for unit HHSS faculty.

c. In any like or related manner interfering with, restraining, or coercing employees in the exercise of the rights guaranteed them by Section 7 of the Act.<sup>39</sup>

2. Take the following affirmative action necessary to effectuate the policies of the Act.

a. To the extent that it has not already done so, the College shall provide the Union with the information requested in its December 15 and 20, 2010 letters.

b. On request, bargain collectively with the Union as the exclusive representative of the employees in the following appropriate unit concerning the effects of the College's decision to modify the rollover scheduling system in HHSS, and if an understanding is reached, embody the understanding in a signed agreement:

All part-time faculty members who have completed teaching at least one

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<sup>38</sup> If no exceptions are filed as provided by Sec. 102.46 of the Board's Rules and Regulations, the findings, conclusions, and recommended Order shall, as provided in Sec. 102.48 of the Rules, be adopted by the Board and all objections to them shall be deemed waived for all purposes.

<sup>39</sup> A broad cease and desist order is not warranted herein. See *Rochester Electric & Gas Corp.*, supra.

semester at the College, excluding all other employees, full-time faculty, artists-in-residence, graduate students, part-time faculty members teaching only continuing education, music lessons to individual students or book and paper making classes, full-time staff members, teachers employed by the Erickson Institute, the YMCA or Adler Planetarium, and other individuals not appearing on the College’s payroll, managers and confidential employees, guards, and supervisors as defined in the Act.

c. Pay each unit employee in HHSS the monetary value of a three-credit course, with interest, for the period set forth in the remedy section of this decision.

d. Preserve and, within 14 days of a request, or such additional time as the Regional Director may allow for good cause shown, provide at a reasonable place designated by the Board or its agents, all payroll records, social security payment records, timecards, personnel records and reports, and all other records, including an electronic copy of such records if stored in electronic form, necessary to analyze the amount of money due under the terms of this Order.

e. Within 14 days after service by the Region, physically post at its Chicago, Illinois facility, and electronically send and post via email, intranet, internet, or other electronic means to its unit employees who were employed at its Chicago, Illinois facility at any time since November 3, 2010, copies of the attached Notice marked “Appendix.”<sup>40</sup> Copies of the notice, on forms provided by the Regional Director for Region 13, after being signed by the College’s authorized representative, shall be physically posted by the College and maintained for 60 consecutive days in conspicuous places including all places where Notices to employees are customarily posted. Reasonable steps shall be taken by the College to ensure that the notices are not altered, defaced, or covered by any other material. In the event that, during the pendency of these proceedings, the College has gone out of business or closed the facility involved in these proceedings, College shall duplicate and mail, at its own expense, a copy of the Notice to all current employees and former employees employed by it at the facility at any time since November 3, 2010.

f. Within 21 days after service by the Region, file with the Regional Director a sworn certification of a responsible official on a form provided by the Region attesting to the steps that the Respondent has taken to comply.

Dated Washington, D.C., July 17, 2012.

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**Robert A. Ringler**  
**Administrative Law Judge**

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<sup>40</sup> If this Order is enforced by a judgment of a United States Court of Appeals, the words in the notice reading “Posted by Order of the National Labor Relations Board” shall read “Posted Pursuant to a Judgment of the United States Court of Appeals Enforcing an Order of the National Labor Relations Board.”

## APPENDIX

### NOTICE TO EMPLOYEES

Posted by Order of the  
National Labor Relations Board  
An Agency of the United States Government

The National Labor Relations Board has found that we violated Federal labor law and has ordered us to post and obey this notice.

#### **FEDERAL LAW GIVES YOU THE RIGHT TO**

Form, join, or assist a union  
Choose representatives to bargain with us on your behalf  
Act together with other employees for your benefit and protection  
Choose not to engage in any of these protected activities

**WE WILL NOT** fail or refuse to provide, or unreasonably delay providing, the Part-time Faculty Association at Columbia College Chicago-Illinois Education Association/National Education Association (the Union) with requested information that is relevant and necessary to its performance of its duties as the exclusive collective-bargaining representative of employees in the unit described below.

**WE WILL NOT** refuse to bargain with the Union over the effects of our decision to change the methodology that we employ to distribute teaching assignments to part-time faculty in the history, humanities and social sciences (HHSS) department.

**WE WILL NOT** in any like or related manner interfere with, restrain, or coerce employees in the exercise of the rights set forth above.

**WE WILL**, to the extent that we have not already done so, provide the Union with the information that it requested in its December 15 and 20, 2010 letters, which involved our change in the methodology that we use to distribute teaching assignments to part-time unit faculty in the HHSS department.

**WE WILL**, on request, bargain collectively with the Union as the exclusive representative of the employees in the following appropriate unit concerning the effects of our decision to change the methodology that we use to distribute teaching assignments to part-time unit faculty in the HHSS department, and if an understanding is reached, embody the understanding in a signed agreement:

All part-time faculty members who have completed teaching at least one semester at the College, excluding all other employees, full-time faculty, artists-in-residence, graduate students, part-time faculty members teaching only continuing education, music lessons to individual students or book and paper making classes, full-time staff members, teachers employed by the Erickson Institute, the YMCA or Adler Planetarium, and other individuals not

appearing on the College's payroll, managers and confidential employees, guards, and supervisors as defined in the Act.

**WE WILL** pay each unit employee in the HHSS department the monetary value of a 3-credit course, with interest, for the period of time described in the "Remedy" section of the Administrative Law Judge's decision.

**COLUMBIA COLLEGE CHICAGO**  
**(Employer)**

**Dated:** \_\_\_\_\_ **By:** \_\_\_\_\_  
**(Representative)** **(Title)**

The National Labor Relations Board is an independent Federal agency created in 1935 to enforce the National Labor Relations Act. It conducts secret-ballot elections to determine whether employees want union representation and it investigates and remedies unfair labor practices by employers and unions. To find out more about your rights under the Act and how to file a charge or election petition, you may speak confidentially to any agent with the Board's Regional Office set forth below. You may also obtain information from the Board's website: [www.nlr.gov](http://www.nlr.gov).

The Rookery Building, 209 South LaSalle Street, Suite 900, Chicago, IL 60604-5208  
(312) 353-7570, Hours: 8:30 a.m. to 5 p.m.

**THIS IS AN OFFICIAL NOTICE AND MUST NOT BE DEFACED BY ANYONE**

THIS NOTICE MUST REMAIN POSTED FOR 60 CONSECUTIVE DAYS FROM THE DATE OF POSTING AND MUST NOT BE ALTERED, DEFACED, OR COVERED BY ANY OTHER MATERIAL. ANY QUESTIONS CONCERNING THIS NOTICE OR COMPLIANCE WITH ITS PROVISIONS MAY BE DIRECTED TO THE ABOVE REGIONAL OFFICE'S COMPLIANCE OFFICER, (312) 353-7170.